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566.39787X00

September 1, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: UCHIDA et al

Serial No.: 09/763,891

Filed: February 28, 2001

For: Polishing Solution For Metal And Polishing Method

Group: 1765

Examiner: L. Umez Eronini

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR 1.97 & 1.98</u>

Mail Stop: DD

Commissioner For Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Pursuant to applicants' duty of disclosure, enclosed please find a Supplementary Partial European Search Report and copies of documents cited therein, in connection with an European Patent Application corresponding to the above-identified application. Also enclosed is a Form, substantially equivalent to Form PTO-1449, listing the enclosed documents.

Of the documents listed in the enclosed Supplementary Partial European Search Report, U.S. Patent No. 5,770,095 has previously been cited and considered by the Examiner in the above-identified application, and European Patent Application Publication No. 846,742 was previously cited in a Search Report in a corresponding International (PCT) application (this Search Report having been submitted upon originally filing the above-identified application); copies of these two documents are not enclosed.

This Information Disclosure Statement is being submitted subsequent to a non-final Office Action on the merits in the above-identified application, and prior to a Final Office Action or issuance of a Notice of Allowance, or issuance of any other action closing prosecution on the merits in the above-identified application. For satisfying requirements of 37 CFR §1.97(c), the following Statement is made under 37 CFR §1.97(e):

Each item of information listed on the enclosed Form and contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

To the extent that the enclosed documents are not in English, it is respectfully submitted that the requirements of 37 CFR §1.98(a)(3) are satisfied by the English abstract of the enclosed non-English language document, and/or by citation of relevant passages of this document in the enclosed Supplementary Partial European Search Report.

In view of all of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR §1.97 and 1.98 have been satisfied, with respect to all documents listed on the enclosed Form. Accordingly, consideration of the listed documents, upon further examination of the above-identified application, is respectfully requested.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry,

Stout & Kraus, LLP, Deposit Account No. 01-2135 (Case: 566.39787X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT/&/KRAUS, LLP

William I. Solomon

Registration No. 28,565

WIS/jla (703) 312-6600 Attachments

FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office										Y. DOCKET NO.		SERIAL NO.			
INFORMATION DISCLOSURE										566.39787X00		09/763,891			
STATEMENT BY APPLICANT										APPLICANT T. ICHIDA et al					
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EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.